

DT06 Rec'd PCT/PTO 15 AUG 2002
HHS

Express Mail mailing label number EV 105943463 US

PATENT **10/088062**
Docket No. H 4299 PCT/US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Application of Naumann et al.

International Application No. PCT/EP00/08774
International Filing Date: September 8, 2000
Serial No. 10/088,062 **Examiner:** To be assigned
Filed: To be assigned **Art Unit:** To be assigned

TITLE: NEW DIRECT HAIR COLORANTS

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS
UNDER 35 U.S.C. §371

Box PCT
Commissioner for Patents
Washington, DC 20231

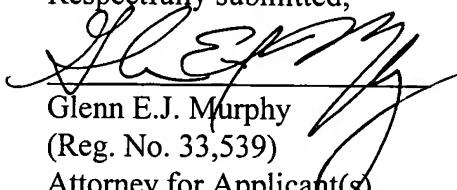
Attn: DO/EO/US

In response to NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. §371 in the United States Designated/Elected Office, mailed July 12, 2002, applicants enclose their executed Declaration and a copy of FORM PCT/DO/EO/905 (371 Formalities Notice).

In response to the notice on FORM PCT/DO/EO/905 that a sequence listing must be submitted, Applicants respectfully submit that the application does not contain a nucleotide and/or amino acid sequence as that term is defined in 37 C.F.R. § 1.1821, and therefore a sequence listing is not required. It is noted that the "biochemical sequence diskette" listed on page 1 of Form PCT/DO/EO/905 is incorrect. Instead, the diskette submitted by Applicants on March 13, 2002 contained Document AV "EU Inventory of Cosmetic Ingredients", which is included in Applicants' Information Disclosure Statement. Applicants also provided with the diskette special instructions for opening the document contained on the diskette. It is respectfully requested that the undersigned be contacted if any further information is required regarding the diskette.

Please debit the \$130.00 surcharge under 37 C.F.R. § 1.16(e) for late filing a declaration to our Deposit Account No. 01-1250. Order No. 02-0426.

Respectfully submitted,


Glenn E.J. Murphy

(Reg. No. 33,539)
Attorney for Applicant(s)
(610) 278-4926

Henkel Corporation
Patent Department
2500 Renaissance Blvd., Suite 200
Gulph Mills, PA 19406

Enclosures:

- 1) Executed Declaration
- 2) Copy of Form PCT/DO/EO/905 (371 Formalities Notice)

FHP



UNITED STATES PATENT AND TRADEMARK OFFICE

Dec 2002 - 9/12/02

Commissioner for Patents, Box PCT
 United States Patent and Trademark Office
 Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/088,062	Frank Naumann	H 4299 PCT/US

Kimberly R Hild
 Henkel Corporation Law Dept
 2500 Renaissance Blvd
 Suite 200
 Gulph Mills, PA 19406

RECEIVED

JUL 18 2002 Jy

HENKEL LAW DEPT.

INTERNATIONAL APPLICATION NO.

PCT/EP00/08774

I.A. FILING DATE	PRIORITY DATE
	09/08/2000

CONFIRMATION NO. 8519

371 FORMALITIES LETTER



OC00000008426590

Date Mailed: 07/12/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Preliminary Amendments

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
 - A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
 - The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
 - **APPLICANT MUST PROVIDE:**
 - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
 - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.

- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

- A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
- The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

KAYA L LEWIS BALTIMORE

Telephone: (703) 305-3695

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/088,062	PCT/EP00/08774	H 4299 PCT/US